

**City
of
Milwaukee**

City Clerk's Office – License Division
200 E. Wells St., Room 105
Milwaukee, WI 53202
(414) 286-2238
license@milwaukee.gov
 Website: www.milwaukee.gov/lobby

PRINCIPAL EXPENSE STATEMENT

Instructions: Complete Lines 1 through 6 of the Principal Expense Statement and any Parts required to be completed pursuant to Line 6. Attach and submit with the expense statement all completed Disclosure of Lobbying Activity forms (ccl-lobby10) if required. Please be advised that the following are exempt from filing an expense statement: a government department, agency or organization of another political subdivision within the state of Wisconsin, another state, or the federal government.

1. Reporting Period:

- January 1 through June 30, 20___. Filing Deadline: July 30.
 July 1 through December 31, 20 13. Filing Deadline: January 30.

Expense statement must be filed no more than 30 days after the end of each reporting period. There is an additional fee in the amount of \$25 for the late filing of this statement.

2. Name of Principal: Northwestern Mutual

3. Principal's Address:
 720 E. Wisconsin Avenue, Milwaukee, WI 53202

4. Principal's Email Address: **5. Principal's Telephone Number:**

6. Indicate whether lobbying expenditures of more or less than \$500 were made in the reporting period and complete the parts and forms indicated.

- Less than \$500. Complete Part III only.
 More than \$500. Complete Parts I through III. Complete and submit with the expense statement a Disclosure of Lobbying Activity form (ccl-lobby10) for each subject area in which the principal attempted to influence legislative or administrative action.

PART I. Lobbying Expenditures.

\$

7. Enter total amount of lobbying expenditures made and obligations incurred for lobbying activities by the principal and all lobbyists for the principal. Include the following in the total amount entered:

- A. Compensation to persons to engage in lobbying.
 B. Lobbying expenditures made and obligations incurred for paid advertising and any other activities conducted for the purpose of urging members of the general public to attempt to influence legislative or administrative action, if the amount exceeds \$500 during the reporting period.

8. If the amount entered in line 7 includes lobbying expenditures and obligations made or incurred by any one lobbyist for the principal which exceeded \$200 during the reporting period, enter in Table 1 below the name and address of the lobbyist and the total amount of the lobbying expenditures made or obligations incurred.

Table 1.

Enter on a separate sheet of paper any additional disclosures relating to Table 1.

Lobbyist Name	Lobbyist Address	Expenditure Amount
Steven M. Radke	720 E. Wisconsin Avenue, Milwaukee, WI 53202	\$ \$3,462.40
		\$
		\$
		\$

PART II. Reimbursements.

10. Enter in Table 2 below the name of any city official to whom the principal or any lobbyist for the principal provided reimbursement authorized under s. 303-9-2-a, MCO, and the date and amount reimbursed.

Table 2.

City Official Name	Date of Reimbursement	Amount of Reimbursement
		\$
		\$
		\$
		\$
		\$

Enter on a separate sheet of paper any additional disclosures relating to Table 2.

PART III. Certification.

11. I certify that the above is true and correct to the best of my knowledge, information and belief and that I am the principal or person authorized to sign this statement on behalf of the principal.

Signature: 	Date: 01/29/13
Type or Print Name: Sandy Botcher <input type="checkbox"/>	

Office Use Only:

Initials: _____ Transaction #: _____ Date & Time Stamp:

CONTINUATION OF DISCLOSURES.

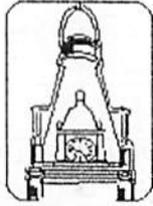
6. Enter Name of Principal:

Northwestern Mutual

B. Date Activity or Lobbying Occurred	C. Activity Conducted or City Official Lobbied	D. Amount of Time Engaged in Lobbying	E. Identity of Specific Legislative or Administrative Action	F. Resources Spent
07/23/13	SBE	1.25	SBE Meeting	\$412.19
09/11/13	Gartner, Scherer, Koster	2.75	conference	\$906.82
09/16/13	Alderman Bauman	1.0	conference	\$329.75
09/25/13	Barrett, Marcoux	1.0	conference	\$329.75
10/14/13	DCD, Marcoux, Schere	1.0	conference	\$329.75
10/24/13	Gartner	.25	telephone conference	\$82.44
12/04/13	Gartner	1.0	conference	\$329.75
12/19/13	Schanning, Gartner	.50	telephone conference	\$164.88

Enter on additional pages any additional disclosures for the subject area identified in Part A on page 1.

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2014 JAN 31 P 1:21



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PRINCIPAL EXPENSE STATEMENT

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Expense statement must be filed no more than 30 days after the end of each reporting period. There is an additional fee in the amount of \$25 for the late filing of this statement.

2. Name of Principal: Northwestern Mutual Life Insurance Co.

3. Principal's Address:
 720 E. Wisconsin Ave., Milwaukee, WI 53202

4. Principal's Email Address: **5. Principal's Telephone Number:**

6. Indicate whether lobbying expenditures of more or less than \$500 were made in the reporting period and complete the parts and forms indicated.

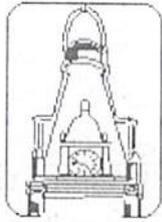
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PART I. Lobbying Expenditures.

\$

7. Enter total amount of lobbying expenditures made and obligations incurred for lobbying activities by the principal and all lobbyists for the principal. Include the following in the total amount entered:

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PART I. Lobbying Expenditures.

7. Enter total amount of lobbying expenditures made and obligations incurred for lobbying activities by the principal and all lobbyists for the principal. Include the following in the total amount entered:

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8. If the amount entered in line 7 includes lobbying expenditures and obligations made or incurred by any one lobbyist for the principal which exceeded \$200 during the reporting period, enter in Table 1 below the name and address of the lobbyist and the total amount of the lobbying expenditures made or obligations incurred.

Table 1.

Enter on a separate sheet of paper any additional disclosures relating to Table 1.

Lobbyist Name	Lobbyist Address	Expenditure Amount
Steven M. Radke	720 E. Wisconsin Avenue, Milwaukee, WI 53202	\$ \$3,462.40
		\$
		\$
		\$

PART II. Reimbursements.

10. Enter in Table 2 below the name of any city official to whom the principal or any lobbyist for the principal provided reimbursement authorized under s. 303-9-2-a, MCO, and the date and amount reimbursed.

Table 2.

City Official Name	Date of Reimbursement	Amount of Reimbursement
		\$
		\$
		\$
		\$
		\$

Enter on a separate sheet of paper any additional disclosures relating to Table 2.

PART III. Certification.

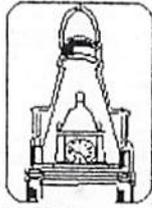
11. I certify that the above is true and correct to the best of my knowledge, information and belief and that I am the principal or person authorized to sign this statement on behalf of the principal.

Signature: 	Date: 01/29/13
Type or Print Name: Sandy Botcher	

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2. Name of Principal: Northwestern Mutual Life Insurance Co.

3. Principal's Address:
 720 E. Wisconsin Ave., Milwaukee, WI 53202

4. Principal's Email Address: **5. Principal's Telephone Number:**

6. Indicate whether lobbying expenditures of more or less than \$500 were made in the reporting period and complete the parts and forms indicated.

- Less than \$500. Complete Part III only.
 More than \$500. Complete Parts I through III. Complete and submit with the expense statement a Disclosure of Lobbying Activity form (ccl-267j) for each subject area in which the principal attempted to influence legislative or administrative action.

PART I. Lobbying Expenditures.

7. Enter total amount of lobbying expenditures made and obligations incurred for lobbying activities by the principal and all lobbyists for the principal. Include the following in the total amount entered:

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Table 1.

Enter on a separate sheet of paper any additional disclosures relating to Table 1.

Lobbyist Name	Lobbyist Address	Expenditure Amount
Bruce T. Block	1000 N. Water Street, Milwaukee, WI 53202	\$ 8,670.00
		\$
		\$
		\$

PART II. Reimbursements.

10. Enter in Table 2 below the name of any city official to whom the principal or any lobbyist for the principal provided reimbursement authorized under s. 303-9-2-a, MCO, and the date and amount reimbursed.

Table 2.

City Official Name	Date of Reimbursement	Amount of Reimbursement
		\$
		\$
		\$
		\$
		\$

Enter on a separate sheet of paper any additional disclosures relating to Table 2.

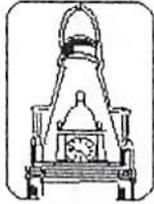
PART III. Certification.

11. I certify that the above is true and correct to the best of my knowledge, information and belief and that I am the principal or person authorized to sign this statement on behalf of the principal.

Signature: 	Date: 1-27-14
Type or Print Name: Steven Radke	

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DISCLOSURE OF LOBBYING ACTIVITY

Instructions: Complete a separate Disclosure of Lobbying Activity form for each subject area in which the principal attempted to influence legislative or administrative action during the reporting period. Attach and submit with the Principal Expense Statement (form ccl-267d) all completed Disclosure of Lobbying Activity forms.

1. Identify Reporting Period: January 1 through June 30, 20____
 July 1 through December 31, 2013

2. Enter Name of Principal:
Northwestern Mutual Life Insurance Co.

3. Enter in Part A a detailed description of the subject area in which the principal attempted to influence legislative or administrative action during the reporting period.

4. Enter in Parts B through F information indicating the date and lobbying activity conducted or city official lobbied, time engaged in lobbying, the specific legislative or administrative action related to the subject area identified in Part A that the principal attempted to influence during the reporting period and the amount of resources spent

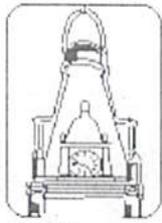
A. Detailed description of subject area:

B. Date Activity or Lobbying Occurred	C. Activity Conducted or City Official Lobbied	D. Amount of Time Engaged in Lobbying	E. Identity of Specific Legislative or Administrative Action	F. Resources Spent
07/01/13	Gartner	.25	telephone conference	\$127.50
07/03/13	Gartner	.25	telephone conference	\$127.50

Enter on page 2 any additional disclosures for the subject area identified in Part A.

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2. Name of Principal: Northwestern Mutual

3. Principal's Address:
 720 E. Wisconsin Avenue, Milwaukee, WI 53202

4. Principal's Email Address: **5. Principal's Telephone Number:**

6. Indicate whether lobbying expenditures of more or less than \$500 were made in the reporting period and complete the parts and forms indicated.

- Less than \$500. Complete Part III only.
 More than \$500. Complete Parts I through III. Complete and submit with the expense statement a Disclosure of Lobbying Activity form (ccl-lobby10) for each subject area in which the principal attempted to influence legislative or administrative action.

PART I. Lobbying Expenditures.

7. Enter total amount of lobbying expenditures made and obligations incurred for lobbying activities by the principal and all lobbyists for the principal. Include the following in the total amount entered:

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8. If the amount entered in line 7 includes lobbying expenditures and obligations made or incurred by any one lobbyist for the principal which exceeded \$200 during the reporting period, enter in Table 1 below the name and address of the lobbyist and the total amount of the lobbying expenditures made or obligations incurred.

Table 1.

Enter on a separate sheet of paper any additional disclosures relating to Table 1.

Lobbyist Name	Lobbyist Address	Expenditure Amount
Steven M. Radke	720 E. Wisconsin Avenue, Milwaukee, WI 53202	\$ \$3,462.40
		\$
		\$
		\$

PART II. Reimbursements.

10. Enter in Table 2 below the name of any city official to whom the principal or any lobbyist for the principal provided reimbursement authorized under s. 303-9-2-a, MCO, and the date and amount reimbursed.

Table 2.

City Official Name	Date of Reimbursement	Amount of Reimbursement
		\$
		\$
		\$
		\$
		\$

Enter on a separate sheet of paper any additional disclosures relating to Table 2.

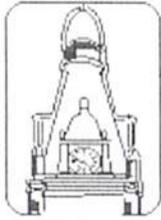
PART III. Certification.

11. I certify that the above is true and correct to the best of my knowledge, information and belief and that I am the principal or person authorized to sign this statement on behalf of the principal.

Signature: 	Date: 01/29/13
Type or Print Name: Sandy Botcher +	

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DISCLOSURE OF LOBBYING ACTIVITY

Instructions: Complete a separate Disclosure of Lobbying Activity form for each subject area in which the principal attempted to influence legislative or administrative action during the reporting period. Attach and submit with the Principal Expense Statement (form ccl-267d) all completed Disclosure of Lobbying Activity forms.

1. Identify Reporting Period: January 1 through June 30, 20___.
 July 1 through December 31, 2013.

2. Enter Name of Principal:

Northwestern Mutual

3. Enter in Part A a detailed description of the subject area in which the principal attempted to influence legislative or administrative action during the reporting period.

4. Enter in Parts B through F information indicating the date and lobbying activity conducted or city official lobbied, time engaged in lobbying, the specific legislative or administrative action related to the subject area identified in Part A that the principal attempted to influence during the reporting period and the amount of resources spent

A. Detailed description of subject area:

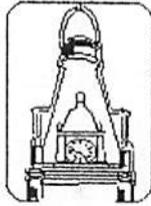
B. Date Activity or Lobbying Occurred	C. Activity Conducted or City Official Lobbied	D. Amount of Time Engaged in Lobbying	E. Identity of Specific Legislative or Administrative Action	F. Resources Spent
07/02/13	Alderman Wille Wade	.50	conference	\$164.88
07/10/13	Gartner	1.25	conference	\$412.19

Enter on page 2 any additional disclosures for the subject area identified in Part A.

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2. Name of Principal: Northwestern Mutual Life Insurance Co.

3. Principal's Address:
 720 E. Wisconsin Ave., Milwaukee, WI 53202

4. Principal's Email Address: steveradke@northwesternmutual.com
5. Principal's Telephone Number: (414) 665-2072

6. Indicate whether lobbying expenditures of more or less than \$500 were made in the reporting period and complete the parts and forms indicated.

- Less than \$500. Complete Part III only.
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PART I. Lobbying Expenditures.

\$ \$8,670.00

7. Enter total amount of lobbying expenditures made and obligations incurred for lobbying activities by the principal and all lobbyists for the principal. Include the following in the total amount entered:

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Table 1.

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Lobbyist Name	Lobbyist Address	Expenditure Amount
Bruce T. Block	1000 N. Water Street, Milwaukee, WI 53202	\$ \$8,670.00
		\$
		\$
		\$

PART II. Reimbursements.

10. Enter in Table 2 below the name of any city official to whom the principal or any lobbyist for the principal provided reimbursement authorized under s. 303-9-2-a, MCO, and the date and amount reimbursed.

Table 2.

City Official Name	Date of Reimbursement	Amount of Reimbursement
		\$
		\$
		\$
		\$
		\$

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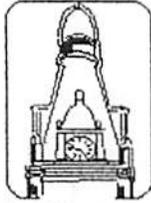
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2. Enter Name of Principal:

3. Enter in Part A a detailed description of the subject area in which the principal attempted to influence legislative or administrative action during the reporting period.

4. Enter in Parts B through F information indicating the date and lobbying activity conducted or city official lobbied, time engaged in lobbying, the specific legislative or administrative action related to the subject area identified in Part A that the principal attempted to influence during the reporting period and the amount of resources spent

A. Detailed description of subject area:

B. Date Activity or Lobbying Occurred	C. Activity Conducted or City Official Lobbied	D. Amount of Time Engaged in Lobbying	E. Identity of Specific Legislative or Administrative Action	F. Resources Spent
07/01/13	Gartner	.25	telephone conference	\$127.50
07/03/13	Gartner	.25	telephone conference	\$127.50

Enter on page 2 any additional disclosures for the subject area identified in Part A.

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CONTINUATION OF DISCLOSURES.

6. Enter Name of Principal:

Northwestern Mutual Life Insurance Co.

B. Date Activity or Lobbying Occurred	C. Activity Conducted or City Official Lobbied	D. Amount of Time Engaged in Lobbying	E. Identity of Specific Legislative or Administrative Action	F. Resources Spent
07/10/13	Gartner, Scherer, Koster	1.25	telephone conference, conference	\$637.50
07/12/13	Gartner	.25	telephone conference	\$127.50
07/23/13	SBE	1.25	SBE meeting	\$637.50
08/29/13	Gartner	.25	telephone conference	\$127.50
09/05/13	Gartner	1.0	conference	\$510.00
09/11/13	Gartner, Scherer, Koster	2.75	conference	\$1,402.50
09/17/13	Gartner, Aldermen	.50	telephone conference	\$255.00
09/18/13	Bohl, Peterson, Wade	.75	telephone conference	\$382.50
09/19/13	Gartner, Wade	.75	telephone conference, conference	\$382.50
09/20/13	Bohl	.50	conference	\$255.00
10/07/13	Gartner	.25	telephone conference	\$127.50
10/14/13	Marcoux, Scherer	1.0	conference	\$510.00
10/22/13	Gartner, Schanning	.25	telephone conference	\$127.50
10/24/13	Gartner	.25	telephone conference	\$127.50
11/05/13	Schanning	.25	telephone conference	\$127.50
11/12/13	Schanning	.25	telephone conference	\$127.50
11/13/13	Schanning	.25	telephone conference	\$127.50

Enter on additional pages any additional disclosures for the subject area identified in Part A on page 1.

CONTINUATION OF DISCLOSURES.

6. Enter Name of Principal:

Northwestern Mutual Life Insurance Co.

B. Date Activity or Lobbying Occurred	C. Activity Conducted or City Official Lobbied	D. Amount of Time Engaged in Lobbying	E. Identity of Specific Legislative or Administrative Action	F. Resources Spent
11/18/13	Schanning	.25	telephone conference	\$127.50
11/19/13	Gartner, Schanning	.50	telephone conferences	\$255.00
11/20/13	Gartner	.25	telephone conference	\$127.50
11/25/13	Schanning	.25	telephone conference	\$127.50
12/03/13	Gartner	.25	telephone conference	\$127.50
12/04/13	Gartner	1.0	conference, telephone conference	\$510.00
12/05/13	Gartner	.25	telephone conference	\$127.50
12/11/13	Schanning	.25	telephone conference	\$127.50
12/16/13	Schanning	.25	telephone conference	\$127.50
12/17/13	Gartner	.25	telephone conference	\$127.50
12/19/13	Schanning, Gartner	.50	telephone conferences	\$255.00
12/20/13	Gartner	.25	telephone conference	\$127.50
12/23/13	Schanning	.25	telepone conference	\$127.50
12/30/13	Schanning	.25	telephone conference	\$127.50

Enter on additional pages any additional disclosures for the subject area identified in Part A on page 1.

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