Form ccl-267d

City of Milwaukee

City Clerk's Office – License Division
200 E. Wells St., Room 105
Milwaukee, WI 53202
(414) 286-2238
license@milwaukee.gov
Website: www.milwaukee.gov/lobby

PRINCIPAL EXPENSE STATEMENT

Instructions: Complete Lines 1 through 6 of the Principal Expense Statement and any Parts required to be completed pursuant to Line 6. Attach and submit with the expense statement all completed Disclosure of Lobbying Activity forms (ccl-267j), if required. Please be advised that the following are exempt from filing an expense statement: a government department, agency or organization of another political subdivision within the state of Wisconsin, another state, or the federal government.

1. Reporting Period:

☒ January 1 through June 30, 20 13 . Filing Deadline: July 30.
☐ July 1 through December 31, 20 __. Filing Deadline: January 30.

Expense statement must be filed no more than 30 days after the end of each reporting period. There is an additional fee in the amount of $25 for the late filing of this statement.

2. Name of Principal: Johnson Controls, Inc.

3. Principal's Address:
507 East Michigan Street, Milwaukee, WI 53202

4. Principal's Email Address:	**5.** Principal's Telephone Number:
charles.k.mcginnis@jci.com	(608) 226-5109

6. Indicate whether lobbying expenditures of more or less than $500 were made in the reporting period and complete the parts and forms indicated.

☐ Less than $500. Complete Part III only.
☑ More than $500. Complete Parts I through III. Complete and submit with the expense statement a Disclosure of Lobbying Activity form (ccl-267j) for each subject area in which the principal attempted to influence legislative or administrative action.

PART I. Lobbying Expenditures. $ $3,375.00

7. Enter total amount of lobbying expenditures made and obligations incurred for lobbying activities by the principal and all lobbyists for the principal. Include the following in the total amount entered:

A. Compensation to persons to engage in lobbying.
B. Lobbying expenditures made and obligations incurred for paid advertising and any other activities conducted for the purpose of urging members of the general public to attempt to influence legislative or administrative action, if the amount exceeds $500 during the reporting period.

8. If the amount entered in line 7 includes lobbying expenditures and obligations made or incurred by any one lobbyist for the principal which exceeded $200 during the reporting period, enter in Table 1 below the name and address of the lobbyist and the total amount of the lobbying expenditures made or obligations incurred.

Table 1.

Enter on a separate sheet of paper any additional disclosures relating to Table 1.

Lobbyist Name	Lobbyist Address	Expenditure Amount
Jason Childress	150 East Gilman Street, Madison, WI 53703	$ retainer*
Sarah Jelencic	777 East Wisconsin Avenue, Milwaukee, WI 53202	$ retainer*
Charles McGinnis	2400 Kilgust Road, Madison, WI 53713	$ retainer*
* total retainer amount for this	reporting period: $3,375	$

PART II. Reimbursements.

10. Enter in Table 2 below the name of any city official to whom the principal or any lobbyist for the principal provided reimbursement authorized under s. 303-9-2-a, MCO, and the date and amount reimbursed.

Table 2.

City Official Name	Date of Reimbursement	Amount of Reimbursement
		$
		$
		$
		$
		$

Enter on a separate sheet of paper any additional disclosures relating to Table 2.

PART III. Certification.

11. I certify that the above is true and correct to the best of my knowledge, information and belief and that I am the principal or person authorized to sign this statement on behalf of the principal.

Signature:	Date: 07/19/13
Type or Print Name: JASON CHILDRESS	

Office Use Only:

Initials:_____ Transaction #:_____ Date & Time Stamp:

Reset Form

ccl-267j

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DISCLOSURE OF LOBBYING ACTIVITY

Instructions: Complete a separate Disclosure of Lobbying Activity form for each subject area in which the principal attempted to influence legislative or administrative action during the reporting period. Attach and submit with the Principal Expense Statement (form ccl-267d) all completed Disclosure of Lobbying Activity forms.

1. Identify Reporting Period: ☑ January 1 through June 30, 20 13 . ☐ July 1 through December 31, 20____.

2. Enter Name of Principal:
Johnson Controls, Inc.

3. Enter in Part A a detailed description of the subject area in which the principal attempted to influence legislative or administrative action during the reporting period.

4. Enter in Parts B through F information indicating the date and lobbying activity conducted or city official lobbied, time engaged in lobbying, the specific legislative or administrative action related to the subject area identified in Part A that the principal attempted to influence during the reporting period and the amount of resources spent

A. Detailed description of subject area:

Changes to the ME2 program to draw private financing for commercial PACE projects in the city of Milwaukee.

B. Date Activity or Lobbying Occurred	C. Activity Conducted or City Official Lobbied	D. Amount of Time Engaged in Lobbying	E. Identity of Specific Legislative or Administrative Action	F. * Resources Spent
01/02/13	review documents (SJ)	24 minutes	Me2 program changes	
01/09/13	review documents; prep for meeting (SJ)	12 minutes	Me2 program changes	

Enter on page 2 any additional disclosures for the subject area identified in Part A.

Office Use Only:

Initials:_____Transaction #:_____Date & Time Stamp:

*Please note: re: column "F. Resources Spent" - lobbying work was billed at a flat retainer. Total retainer for lobbying activity during this reporting period is $3,375.

CONTINUATION OF DISCLOSURES.

6. Enter Name of Principal:

Johnson Controls, Inc.

B. Date Activity or Lobbying Occurred	C. Activity Conducted or City Official Lobbied	D. Amount of Time Engaged in Lobbying	E. Identity of Specific Legislative or Administrative Action	F. * Resources Spent
01/10/13	meeting with treasurer et al. (SJ)	2 hours 12 minutes	Me2 program changes	
01/14/13	email and reading (JC)	36 minutes	Me2 program changes	
01/15/13	prep and meet with Alderman Murphy (SJ, JC)	2 hours	Me2 program changes	
01/30/13	email with city council (JC)	12 minutes	Me2 program changes	
02/01/13	emails to Ald. Murphy (JC)	42 minutes	Me2 program changes	
02/08/13	email to Ald. Murphy's staff et al; call w/ client (JC)	2 hours	Me2 program changes	
02/11/13	call with client (JC)	36 minutes	Me2 program changes	
02/07/13	read documents (JC)	48 minutes	Me2 program changes	
02/12/13	email client; review draft document (JC)	48 minutes	Me2 program changes	
02/13/13	review documents; prepare for meeting	48 minutes	Me2 program changes	
02/20/13	prepare for call with client; call (JC)	1 hour 30 minutes	Me2 program changes	
02/21/13	call to Alderman Murphy's office (JC)	12 minutes	Me2 program changes	
02/19/13	review documents (JC)	54 minutes	Me2 program changes	
02/22/13	call to Alderman Murphy's office (JC)	12 minutes	Me2 program changes	
03/01/13	research, calls to alderman's office; set up meetings (JC)	1 hour 6 minutes	Me2 program changes	
03/04/13	meeting with Alderman Wade (JC)	24 minutes	Me2 program changes	
03/07/13	prep and meet with alderman Dudzik, Murphy and Kovac (JC, SJ, CM)	7 hours 48 minutes	Me2 program changes	

Enter on additional pages any additional disclosures for the subject area identified in Part A on page 1.

*Please note: re: column "F. Resources Spent" - lobbying work was billed at a flat retainer. Total retainer for lobbing during this reporting period is $3,375.

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CONTINUATION OF DISCLOSURES.

6. Enter Name of Principal:

Jonhnson Controls, Inc.

B. Date Activity or Lobbying Occurred	C. Activity Conducted or City Official Lobbied	D. Amount of Time Engaged in Lobbying	E. Identity of Specific Legislative or Administrative Action	F. * Resources Spent
03/08/13	calls; research (JC, CM)	2 hours 18 min.	Me2 program changes	
03/18/13	conference calls; calls to Aldermans re: meetings (JC, CM)	3 hours	Me2 program changes	
03/19/13	travel to Milwaukee; meeting w/ Aldermans (JC, CM)	5 hours 30 min.	Me2 program changes	
03/20/13	call w/ legislative drafter (JC)	42 minutes	Me2 program changes	
03/22/13	meeting w/ alderman and city officials (JC, CM)	2 hours 48 min.	Me2 program changes	
03/27/13	emails and call with alderman and city official (JC)	24 minutes	Me2 program changes	
03/28/13	email w/ alderman re: drafting (JC)	36 minutes	Me2 program changes	
04/04/13	call with city comptroller; email to alderman (JC)	36 minutes	Me2 program changes	
04/05/13	discussion w/ A. Cadle (JC)	54 minutes	Me2 program changes	
04/09/13	discussion with drafter; calls to alderman (JC)	36 minutes	Me2 program changes	
04/15/13	meeting w/ Alderman Bohl (JC, CM)	1 hour 6 min.	Me2 program changes	
04/17/13	meetings with alderman Witkowski & Donovan (JC, CM)	1 hour 48 min.	Me2 program changes	
04/22/13	meeting w/ Alderman Hines (JC, CM)	1 hour	Me2 program changes	
05/02/13	meeting w/ Alderman Murphy, Treasurer, drafter, city attorney et al. (JC, CM, SJ)	5 hours 6 min.	Me2 program changes	
05/07/13	call w/ E. Shambarger (JC)	24 minutes	Me2 program changes	
05/10/13	meeting w/ Alderman Murphy et al conf. w/ J. McKenzie (CM, JC, SJ)	3 hours 42 min.	Me2 program changes	

Enter on additional pages any additional disclosures for the subject area identified in Part A on page 1.

*Please note: re: column "F. Resources Spent" - lobbying work was billed at a flat retainer. Total retainer for lobbying during this time period is $3,375.

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CONTINUATION OF DISCLOSURES.

6. Enter Name of Principal:

Johnson Controls, Inc.

B. Date Activity or Lobbying Occurred	C. Activity Conducted or City Official Lobbied	D. Amount of Time Engaged in Lobbying	E. Identity of Specific Legislative or Administrative Action	F. * Resources Spent
05/22/13	emails w/ Alderman Murphy's office and A. Cadle (JC)	30 minutes	Me2 program changes	
06/17/13	call w/ City attorney; call with E. Lotzia (SJ, JC)	2 hours 30 min.	Me2 program changes	
06/24/13	attend/speak at Community & Econ Dev. Committee meeting (SJ, CM)	3 hours 6 min.	Me2 program changes	
06/25/13	follow-up issues re: ordinance revisions and post approval (SJ)	6 minutes	Me2 program changes	
		59.7		

Enter on additional pages any additional disclosures for the subject area identified in Part A on page 1.